

#2

Patent

JC971 U.S. PTO
09/882143



Attorney Docket # 5031-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Sergio PICCIOLI

Serial No.: n/a

Filed: Concurrently Herewith

For: Method for Predictive Determination of
Financial Investment Performance

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO 1449. Copies of the listed documents are also enclosed.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

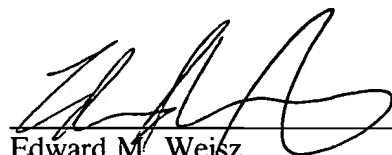
In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or

that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By:


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Dated: June 14, 2001

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PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT

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U.S. PATENT DOCUMENTS

*Ex. Intls.		Document No.	Date	Name	Class	Subclass	Filing Date
	AA	3,942,169	3/2/1976	Y. Fujimoto et al.	340	146.3	9/6/1974
	AR	5,974,403	10/26/1999	S. Takriti et al.	705	412	7/21/1997
	AC	5,761,442	6/2/1998	D. S. Barr et al.	395	236	8/31/1994
	AD	5,444,819	8/22/1995	M. Negishi	395	22	4/27/1993
	AI	5,761,386	6/2/1998	S. R. Lawrence	395	23	6/16/1997
	AF	5,544,281	8/6/1996	T. Maruoka et al.	395	68	3/23/1994
	AG	5,956,702	9/21/1999	M. Matsuoka et al.	706	22	8/22/1996
	AI	5,109,475	4/28/1992	M. Kosaka et al.	395	22	8/14/1989
	AI	6,122,399	9/19/2000	M. C. Moed	382	159	9/4/1997
	AJ	5,422,981	6/6/1995	T. Niki	395	22	11/18/1991
	AK	5,967,981	10/19/1999	R. L. Watrous	600	428	9/26/1997
	AL	5,796,611	8/18/1998	K. Ochiai et al.	364	420	10/3/1995
	AM	5,461,699	10/24/1995	M. Arbabi et al.	395	23	10/25/1993
	AN	5,414,838	5/9/1995	A. D. Kolton et al.	395	600	6/11/1992
	AO	6,119,103	9/12/2000	C. A. Basch et al.	705	35	5/27/1997
	AP	5,832,456	11/3/1998	F.D. Fox et al.	705	10	1/18/1996
	AQ	6,035,295	3/7/2000	L. C. Klein	707	6	11/24/1998
	AR	5,664,174	9/2/1997	R. Agrawal et al.	395	606	5/9/1995
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